

# CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT

Program Year 42  
October 1, 2016 – September 30, 2017



City of Waterbury  
Neil M. O'Leary, Mayor

Submitted by:  
City of Waterbury  
Office of Community Development

**City of Waterbury**  
**Consolidated Annual Performance Evaluation Report**  
for the program year ending September 30, 2017

<b>Grant Number:</b> B-16-MC-09-0016 M-16-MC-09-0205 E-16-MC-09-0001	<b>For the Program Year ending:</b> <p style="text-align: center;">9/30/2017</p>
<b>Address of Grantee:</b> <p style="text-align: center;">City of Waterbury  235 Grand Street  Waterbury, CT 06702</p>	<b>Community Development Contact:</b> <p style="text-align: center;">Diane C. Toolan  Housing and Community Planning Program  Director, Office of Community Development  235 Grand Street, Room C-29  Waterbury, CT 06702  (203) 346-3810 ext. 7288</p>
<b>These Community Development Block Grant Funds have been used:</b> <ol style="list-style-type: none"> <li>1. to meet the Community Development Program objectives specified in the final statement for this program year</li> <li>2. exclusively to benefit low/moderate income persons, aid in the prevention or elimination of slums and blight &amp; meet community development need</li> <li>3. such that the grantee has complied with, or will comply with, its certification to expend not less than 70% of its CDBG funds during the specified period on activities which benefit low/moderate income persons</li> </ol>	
Were citizen comments about this report and/or the CDBG program received? <input type="checkbox"/> yes <input type="checkbox"/> no	
<b>Indicate how the CAPER was made available to the public:</b> <p style="text-align: center;">By printed notice: Waterbury Republican American, 11/14/2017</p>	
I hereby certify that: This report contains all required items identified by HUD; Federal Assistance made available under the CDBG has not been utilized to reduce substantially the amount of local financial support for community development activities below the level of such support prior to the start of the most recently completed CDBG program year; all the information stated herein and any information provided in the accompaniment herewith is true and accurate.	
_____ <b>Mayor Neil M. O’Leary</b>	_____ <b>Date</b>

## **CR-05 - Goals and Outcomes**

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

During the CD YR 42 CAPER Period (10/1/16 to 9/30/17), the City made good progress in carrying out its Strategic Plan and its Annual Action Plan. Emphasis was placed on bringing in the social service agencies sooner in order to review their awards, go over the City's requirements, review their responsibilities and ensure that they are ready to execute their contracts in as timely a manner as possible. Each new subrecipient is presented with a Monitoring and Program Handbook which details the requirements and presents them with templates to follow. The percentage of agencies that executed their contracts prior to the end of the year increased from 85% in CD YR 41 to 100% in CD YR 42. This ensured they were ready to undertake their programs and spend their funds. The 3 ESG subrecipients executed their contracts by the end of the November 2016 so that they could meet the quarterly draws now required under the HEARTH Act.

There were 14 social service agencies/programs funded in the Annual Action Plan for CD YR 42. One social service agency, Family Services of Greater Waterbury announced in July of 2016 that the Board of Directors had voted to close the 107-year old organization and that it was formally dissolved. As a result they had to decline the CD YR 42 award. Their allocation of \$9,500 was reprogrammed during the CD YR 43 process to the Senior Shuttle.

Similarly, meetings were held with the non-social service recipients, 5 of which were non-profits and 4 were City projects. The non-profits were in various stages of getting their projects underway. All 4 of the City projects were underway during the program year and should be substantially completed in late spring 2018.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve Housing Conditions & Eliminate Blight	Affordable Housing Non-Housing Community Development	HOME: \$40,761.80	Rental units rehabilitated	Household Housing Unit	88	3	3.41%	23	3	13%
Improve Housing Conditions & Eliminate Blight	Affordable Housing Non-Housing Community Development	HOME: \$38,129.00	Homeowner Housing Rehabilitated	Household Housing Unit	25	1	4.00%	29	2	0.06%
Increase Accessibility to Housing Resources	Affordable Housing	CDBG: \$142,612.10	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	6250	136	2.18%	200	84	42%
Maintain and Increase Housing for Homeless	Affordable Housing Homeless	ESG: \$26,388.38	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	225	27	12.00%	50	40	80%
Maintain and Increase Housing for Homeless	Affordable Housing Homeless	ESG: \$102,334.80	Homeless Person Overnight Shelter	Persons Assisted	6500	936	14.40%	1250	905	72.4%
Maintain and Increase Housing for Homeless	Affordable Housing Homeless	ESG: \$19,965.72	Homelessness Prevention	Persons Assisted	150	105	70.00%	85	69	81.17%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Provide for Basic Medical and Nutritional Needs	Non-Housing Community Development	CDBG: \$80,080.94	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	32500	11999	36.92%	6800	3362	49.44%
Provide for the Elderly	Non-Housing Community Development	CDBG: \$111,655.17	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3500	1517	43.34%	3800	589	15.50%
Provide Infrastructure & Facility Improvements	Non-Housing Community Development	CDBG: \$1,115,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	110336	185668	168.28%	32176	62386	193.89%
Provide Targeted Social Service Programs	Non-Housing Community Development	CDBG: \$57,552.24	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15500	5837	37.66%	1796	1811	100.84%
Support Youth Initiatives	Non-Housing Community Development	CDBG: \$29,857.67	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	23500	5757	24.50%	415	1123	270.60%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The City used its PY 42 CDBG funds to address many of its priority needs as identified in the 5 Yr Plan. Programs selected for funding were prioritized by the Citizens Advisory Committee (CAC) with those meeting the basic needs of food, shelter/housing and health ranked highest; those serving the elderly, youth and transportation next and education, case management and recreation priority 3. All programs selected for funding met this test. During this CAPER a total of 7,633 people were served by the social service programs, of which, 6,307 were extremely low income; 882 were low; 335 were moderate and 109 were non-low. A total of 1,036 persons reported a physical disability. Providing these public and supportive social services addresses the following Annual Goals as stated in the 5 Yr Plan: 3-support youth initiatives; 2-provide for the elderly; 5-targeted social service programs and 4-provide basic medical and nutritional needs.

There were 9 projects selected, 5 of which were proposed by 5 different non-profits which serve low income youth (PAL and Boys and Girls Club of Greater Waterbury); low income residents (Brass City Harvest and St. Margaret Willow Plaza) and one to create a new neighborhood community center (St. Joseph's Community Center). The remaining 4 were City sponsored projects designed to upgrade and improve local parks. The provision of infrastructure and facility improvements meets Goal 10.

This year the City continued to use HOME funds to address its housing needs by completing two affordable housing projects. The Frost Homestead Project helped 63 units of affordable rental housing that had already exceeded their 40 year affordability period. HOME funded 11 out of the 63 units. The completion of the project allowed the units to remain affordable for an additional 40 years for low-income individuals and families. The second project was the rehabilitation of Brookside Co-operatives a limited equity co-op. HOME funded 27 out of the 102 units helping preserve its affordability.

In CD Year 42, demolition of blighted vacant buildings remained a top priority as evidenced by the expenditure of non-CDBG City bond funds in the amount of \$559,037.00 from the \$750,000 that was allocated. Fourteen structures were demolished and one industrial building was secured with a two hour fire rating : 663 South Main St (3 Buildings) \$67,487.00, 50 Lounsbury Street 32,659.0, 58 Lounsbury Street \$31,849.00, 62 Lounsbury Street \$29,479.00, 43 Lounsbury Street \$46,319.00 located In The South End neighborhood, 359 Grove Street \$22,869.00 located in the Willow Plaza neighborhood, 24 Adams Street \$34,977.00, 60 High Street \$34,632.00, 82 High Street \$26,727.00, 107 Orange Street \$48,600.00, 114 Cherry Street \$26,727.00, 39 Cherry Avenue (two hour fire rated securing of building) \$70,300.00 located in the WOW neighborhood, 102 Windsor Street \$30,681.00 located in the Bunker Hill neighborhood and 4 Glenridge Street \$48,103.00 located in the Hillside neighborhood.

The Emergency Solutions Grant (ESG) Program addresses Goal 3 to maintain and increase housing for the homeless. In addition to a portion of the shelter operations funded at Safe Haven, a domestic violence shelter; Salvation Army, a family shelter; and St. Vincent DePaul, a single and family shelter, ESG funds also provide for prevention services at Salvation Army and rapid re-housing at Salvation Army and St. Vincent DePaul.

**CR-10 - Racial and Ethnic composition of families assisted**

**Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)**

	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>
White	4,636	27	647
Black or African American	1,662	11	553
Asian	27	0	8
American Indian or American Native	38	1	6
Native Hawaiian or Other Pacific Islander	6	0	1
Multiple Races	0	0	180
<b>Total</b>	<b>7,633</b>	<b>39</b>	<b>1395</b>
	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>
Hispanic	3,889	15	467
Not Hispanic	3,744	24	928

**Table 2 – Table of assistance to racial and ethnic populations by source of funds**

**Narrative**

The City of Waterbury is a culturally diverse community whose population continues to reflect national trends of minority population growth. According to the 2015 American Community Survey 5-Year Estimates while the overall population decreased (815 persons), roughly -0.7%, the Hispanic population once again showed continued growth. Hispanic growth continued to increase from 31.2% of the City's population to 35.3%, or 38,617 persons. The non-Hispanic White population is at 44,457 persons; Black is at 20,332 persons; Asian at 2,389 persons and other at 3,756 persons. The percent of minority population in Waterbury is at 59.4%. The families noted above who have benefitted from the CDBG, HOME and ESG Programs virtually mirror the cultural diversity of the City.

**CR-15 - Resources and Investments 91.520(a)**

Identify the resources made available

Source of Funds	Resources Made Available	Amount Expended During Program Year
CDBG	\$1,917,162	\$1,963,223
HOME	\$637,414	\$398,054
ESG	\$170,015	\$150,756

**Table 3 - Resources Made Available**

**Narrative**

CAPER YR 42 Resources Available:

\$1,917,162 New CDBG Funds  
 \$ 637,414 New HOME Funds  
 \$ 170,015 New ESG Funds

\$1,917,162 New CDBG Funds  
 \$371,055 Reprogrammed Funds  
\$ 73,635 Program Income  
 \$2,361,852 Total CDBG

\$637,414 New HOME Funds  
\$ 55,643 Program Income  
 \$693,057 Total HOME

\$170,015 New ESG Funds  
 \$170,015 Total ESG Funds

The total expenditure in CD YR 42 for each funding source was:

\$1,963,223 CDBG Funds  
 \$ 398,054 HOME Funds  
 \$ 150,756 ESG Funds

## Identify the geographic distribution and location of investments

### CDBG

Neighborhood	Total Expenditures	Percentage
CDBG Income-Eligible Target Neighborhoods	\$1,193,013	71%
Citywide	\$410,695	24%
South End	\$49,960	3%
WOW	\$32,535	2%
Total	\$1,686,203	

### HOME

Neighborhood	HOME Funds Invested	Percentage
CDBG Income-Eligible Target Neighborhoods	\$250,604.88	98%
South End	\$0.00	0%
WOW	\$450.00	.18%
Citywide	\$3,650	1.43%
Total	\$254,704.88	

Table 4 – Identify the geographic distribution and location of investments

### Narrative

Over the last decades, Waterbury has developed strategies for the revitalization of several areas in the City. While the programs and resources available have been varied, the geographic location of these revitalization areas and the needs have remained somewhat constant. The needs have corresponded with the legislative intent of the block grants for community development and housing. The revitalization areas include the following neighborhoods: Central Business District, Crownbrook, North End, New Pac, South End, Walnut, Orange, Walsh (WOW). In addition to physical needs in these areas, there are underlying educational and social service needs to be addressed.

CDBG allocations are not limited to specific geographic areas of Waterbury, but must serve low/mod-income residents. A majority of Waterbury's residents are low or moderate income and activities that benefit all residents therefore benefit individuals who meet HUD income eligibility requirements.

The allocation of HOME resources is not strictly limited to any specific geographic area of the City but serves the criteria set by HUD for eligibility, along with meeting the specific goals and objectives set forth in the 5 Year Plan. The City reviews each application for HOME funds to ensure that funding is aligned with priority needs identified in the Strategic Plan. Priority is

determined based upon anticipated impacts of proposed projects on the surrounding neighborhoods and potential benefits to low- and moderate-income residents. This year 2 projects assisted were in CDBG income-eligible target neighborhoods. The first was for the rehabilitation of 63 affordable rental units, 11 of which were HOME funded and the second was the rehabilitation of a limited equity co-operative which HOME funded 27 out of 102 units. One rental rehabilitation funded fell in the citywide category which will provide 6 families with affordable rental housing once completed and another in the Walnut Orange Walsh (WOW) neighborhood which will provide 3 families/individuals affordable supportive housing.

The allocation of Emergency Solutions Grant (ESG) program funds is limited to eligible emergency shelters and day shelters located within the City of Waterbury; homelessness prevention and outreach activities; and programs and projects that re-house the homeless.

In the 5 Year Plan, the City noted that: Consolidated Plan investment will be targeted specifically to: (1) neighborhoods in which crime, vacant and/or blighted housing conditions, and the absence of retail, educational and social enrichment opportunities require long-term investment to improve sustainability; (2) emerging growth neighborhoods undergoing revitalization, where development momentum has been established, but where additional investment is needed, (3) neighborhoods where existing residents need housing assistance to prevent dislocation; (4) neighborhoods abutting sites selected for proposed new school facilities; (5) neighborhoods in which there is a dense concentration of tax-delinquent, vacant, abandoned and underutilized housing and commercial facilities; and (6) gateways to the City – which set the tone for visitors' interaction with the City.

The rationale for allocating resources addresses housing and community development needs in areas where low-income concentrations, low owner-occupancy rates, substandard housing conditions and the need for infrastructure improvements were evident. Focusing investment in these targeted revitalization areas is anticipated to result in increased affordable housing opportunities and will leverage private investment to ensure that neighborhood-oriented services and adequate community facilities are provided. The City will also leverage its Federal funds to foster affordable housing, homeownership, employment and economic opportunities, neighborhood revitalization, community facility improvements and other improvements to the City.

## Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

### Public Services:

Brass City Harvest - Expended: \$16,100; Leveraged: \$87, 697

Catholic Charities - Expended: \$5,000; Leveraged: \$99,000

Center for Human Development - Expended: \$28,000; Leveraged: \$91,000

Connecticut Legal Services - Expended: \$9,700; Leveraged: \$33,088

Greater Waterbury Interfaith Ministries - Expended: \$50,674; Leveraged: \$244,508

Hispanic Coalition - Expended: \$11,000; Leveraged: \$38,450

Literacy Volunteers - Expended: \$5,500; Leveraged: \$221,354

Safe Haven - Expended: \$9,500; Leveraged: \$1,842,500

Salvation Army – Expended: \$9,100; Leveraged: \$94,248

Shakesperience Productions - Expended: \$5,000; Leveraged: \$2,375

Walnut Orange Walsh NRZ - Expended: \$9,500; Leveraged: \$50,713

Waterbury Senior Shuttle - Expended: \$107,181; Leveraged: \$28,458

Waterbury Youth Service System - Expended: \$9,500; Leveraged: \$73,918

**Total Public Service Funds Expended: \$275,755**

**Total Non-CDBG Funds Leveraged: \$2,907,309**

### ESG:

Safe Haven - Expended: \$18,337; Leveraged: \$1,842,500

St Vincent DePaul - Expended: \$91,798; Leveraged: \$895,207

The Salvation Army - Expended: \$44,810; Leveraged: \$410,637

**Total ESG Funds Expended: \$148,690**

**Total Non-ESG Funds Leveraged: \$2,680,403**

- The Salvation Army allocation of \$47,129 was matched \$47,129, in funds from the United Way of Greater Waterbury.
- The Safe Haven allocation of \$18,337 was matched with \$18,337 in funds from the United Way of Greater Waterbury.
- The St. Vincent DePaul allocation of \$91,798 was matched with private donations in the amount of \$91,798.

**CDBG Projects:**

Boys and Girls Club Bleachers- Allocation: \$40,000; \$-0-  
PAL Boiler Replacement- Allocation: \$76,904; \$23,036  
City Mills and Waterville Bathrooms-Allocation: \$440,000; \$41,000  
Library Plaza Entry- Allocation: \$441,000; \$175,000  
Fire Station Improvements-Allocation: \$23,085; \$12,000  
Hamilton Park Fence- Allocation: \$33,350; \$-0-  
Downtown Façade Improvements- Allocation: \$147,632; \$51,000  
Fulton Park Wading Pool-Allocation: \$383,159; \$-0-

**Total CDBG Projects Expended: \$1,246,958**

**Total Non-CDBG Funds Leveraged: \$302,036**

**HOME Projects**

**Total HOME Funds Expended: \$398,054.33**

**Total Non-HOME Funds Leveraged: \$ 8,207,009.00**

<b>Fiscal Year Summary – HOME Match*</b>	
1. Excess match from prior Federal fiscal year	\$14,377,811.69
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$14,377,811.69
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$14,377,811.69

**Table 5 – Fiscal Year Summary - HOME Match Report**

\* The HOME Matching Liability Report calculates match required based on expenditures during the Federal Fiscal Year, which for 2016 would be 10/1/2016 through 9/30/2017. The City received a HOME match reduction for 2016, due to both fiscal distress and Presidential disaster declaration that reduced the match to 0%

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/ Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$	\$	\$	\$	\$
0	\$55,642.65	\$55,642.65	0	0

Table 7 – Program Income

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises		Male		
<b>Contracts</b>						
Dollar Amount	0	0		0		
Number	0	0		0		
<b>Sub-Contracts</b>						
Number	0	0		0		
Dollar Amount	0	0		0		

**Table 8 – Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	3	0	0	1	0	1
Dollar Amount	\$244,100	0	0	\$450.00	0	\$3,650.00

**Table 9 – Minority Owners of Rental Property**

Minority Owners of Rental Property - Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted.

This year there were three rental projects assisted with HOME funds which were listed above. One of the three projects is owned by a non-profit therefore it could not be categorized.

**Relocation and Real Property Acquisition** – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0		0	
Businesses Displaced		0		0	
Nonprofit Organizations Displaced		0		0	
Households Temporarily Relocated, not Displaced		0		0	
Households Displaced	Total	Minority Property Enterprises			White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	
Number	0	0	0	0	0
Cost	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

DRAFT

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	1020	185*
Number of Non-Homeless households to be provided affordable housing units	38	20
<b>Total</b>		

Table 11 – Number of Households

\*ESG Rapid Rehousing

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	6	0
Number of households supported through Rehab of Existing Units	15	38
Number of households supported through Acquisition of Existing Units	6	1
<b>Total</b>	15	39

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The number of households supported through the production of new units was lower than the actual because the non-profit housing group did not receive the funding they anticipated from the State of Connecticut and had to reapply. They made changes to the project, reapplied and are waiting to hear whether or not the State will fund the project. If funded they are expected to apply for HOME funds. The goal for the number of households supported through the acquisition of existing units should have been 1. The new units for the project mentioned in this paragraph were going to receive down-payment assistance but would not be considered existing units. The 1 unit assisted was the last unit to be sold in our Gaffney Place Revitalization Project.

This year our actual outcome for the number of households supported through rehab of existing units is higher than the one-year goal because the units to be completed at Brookside Co-operative and Frost Homestead were left out of estimate for rental units to be rehabilitated in the Annual Plan for CD Year 42. Although both of these projects were substantially completed in CD Year 41, the final payments were not made until CD Year 42 so they are counted in CD Year 42's actual number.

It was anticipated that one of the housing groups would be applying and completing the

rehabilitation of 6 units this year. Although they applied and HOME funds were committed at the end of CD Year 42 the project will not be completed until CD Year 43. The estimate also included two investor owned projects totaling 9 units that were expected to be completed this year but the lack of contractors interested in bidding, higher than expected bids and the need for additional funding caused the projects to be delayed. Both projects are expected to be completed this year.

**Discuss how these outcomes will impact future annual action plans.**

These outcomes will not impact future annual action plans due to the continuing need for affordable housing.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

<b>Number of Persons Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>
Extremely Low-income	6301	22
Low-income	882	3
Moderate-income	335	5
Non Low -Income	109	0
<b>Total</b>	<b>7633</b>	<b>30</b>

**Table 13 – Number of Persons Served**

**Narrative Information**

The City provided HOME funds to Naugatuck Valley Housing Development Corporation (NVHDC) for their Brookside Co-operative project which is a limited equity co-operative consisting of a 102 units. It is one of the only state funded affordable co-ops still in operation. HOME funds were provided to 27 owners for the rehabilitation of their units. In addition to HOME funds NVHDC received funding from the CT Department of Housing. The renovations will allow the co-op to remain affordable for an additional 40 years. Of the HOME assisted units units 15 fell between 0 – 30% of MFI, 7 between 31 – 50% of MFI, 3 between 51 – 60% of MFI and 2 between 61 – 80% of MFI. Caleb Waterbury Limited Partnership completed their Frost Homestead Project at the beginning of CD Year 42. Frost Homestead had substantial deferred maintenance, a high vacancy rate and needed significant improvements to extend its ongoing viability. Caleb received funding from Connecticut Housing Finance Authority, the CT Department of Economic and Community Development and City HOME funds for the rehabilitation. Of the HOME funds assisted 6 fell between 0-30% of MFI and 5 between 30 – 50% of MFI. Caleb was able to extend the affordability period for an additional 40 years. One of the persons served was in the form of homebuyer assistance for the Gaffney Place Project, this owner fell in the 61 – 80% of MFI.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The City participates in and supports the local Continuum of Care (COC) and its initiatives. The City co-sponsors the 10 Year Plan to End Homelessness and sits on a number of the subcommittees.

The SOAR program (Social Security Outreach, Access and Recovery program) provides monthly outreach sessions at local soup kitchens and food pantries, to ensure that those entitled to these benefits receive them.

In an effort to take the concept of the Project Homeless Connect year round, the Continuum of Care implemented a plan that utilizes the Homeless Hospitality Center operated by CHD to assess the needs of their clientele and match those needs with donor interest. The United Way of Greater Waterbury Community Impact and Resource Development Teams work with corporate partners to build United Way Day of Action volunteer opportunities that meet identified needs and ensure availability of needed personal and seasonal items previously provided at Project Homeless Connect. Thus far as part of this partnership, Webster Bank, IBM, Danbury Saving Bank have participated and donated goods at the center. The center is a safe place for people who are homeless to connect with services while in the process of moving towards housing stability through connections with community resources. People have the opportunity to take a shower, do laundry, use a computer or telephone, collect mail, take shelter from severe weather, and enjoy a brief respite from the harshness of living on the street. In addition to meeting those basic needs, the Hospitality Center also provides information about programs designed to move people out of homelessness like legal services, employment/vocational opportunities, benefits, medical and mental health services, and more.

United Way continues to provide funding to Waterbury Youth Services (WYS) for a modest youth drop-in center. Ongoing outreach activities make youth aware of this program, where they receive case management, connection to services, and basic needs items. HUD awarded a Homeless Youth Demonstration Grant to Connecticut, and Waterbury anticipates having additional funding for homeless youth outreach through this funding within the next year. Waterbury is again planning to participate in the annual statewide homeless youth count, to be held January 24-30, 2018. The project will include outreach to those youth identified as homeless or unstably housed, by providing information on and connecting youth to local resources.

Waterbury/Litchfield Coordinated Access Network (CAN) was instituted on December 15, 2014. This new process allows the homeless to call 211. If diversion is unsuccessful at the 211 level they are referred to 1 of the 3 sites, St. Vincent DePaul Mission Emergency Shelter for immediate need assistance, The Salvation Army to do family assessments and the Hospitality Center for individuals to complete the initial CAN assessment. In the case that immediate shelter is needed, they are referred to St. Vincent DePaul for an available bed. A client is to call 211 for housing assistance when they are 24 hours from being literally homeless.

Once the initial intake is complete the client will have three outcomes. If the client is in need of shelter and there are beds available they will be accepted for enrollment, if shelter is needed and there are no openings they will be added to the shelter wait list. If the client is able to resolve their

housing situation while working with one of the assessment staff at their CAN appointment, they would be diverted at the CAN level. Some clients came to the initial intake and are not literally homeless or are not looking for shelter at this time. They are looking for security deposits and/or an immediate housing voucher without entering shelter. These clients would be considered “not appropriate at this time for CAN”. Once a client is accepted for enrollment their case manager conducts a VI-Spidat and enters the assessment into the HMIS system. When this is complete the client automatically generates on the By Name List (BNL) which is the list that all referrals are taken from for any housing openings. This list is controlled by Department of Housing’s case manager for our region.

The DMHAS Homeless Outreach Team (HOT), Waterbury Hospital’s HOT team and CHD’s outreach workers continue to reach out to the homeless that live outside. The staff does an Intake and assessment with each willing individual and refers them to the Coordinated Access. Eligible individuals are placed on the Coordinated Access By Name List where they are matched to available housing. In the past year, over 50 chronically homeless individuals have been housed in permanent supportive housing and are connected with supportive service. Additional eligible individuals are being matched to available housing. We estimate that there are approximately 25 individuals who are currently staying outside with HOT providing weekly outreach and engagement services. During the coldest months, organizations continue to pool resources to ensure that individuals have a safe a warm place available to them. Funding from DOH Cold Weather Funding continues to be an intricate part of assisting this population during the coldest days.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

St. Vincent DePaul shelter is available 24/7 when individuals and families are having a housing crisis. St. Vincent DePaul has beds for individual males & females and family rooms for mothers and their children. They have cots that are used in emergencies when there are no available beds.

#### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The Flexible Assistance Fund, a prevention strategy for those whose housing is at risk, has assisted 356 households, comprised of 521 adults and 464 children, with \$398,549 in funding provided to date with the average award being \$1,152. This fund provides 1-time financial support to help individuals or families address immediate and temporary situations that may put their housing in jeopardy.

Committed key stakeholders representing Social Security Administration, NW Regional Workforce Investment Board, Dept. of Social Services, Job Links/Rideworks, Bureau of Rehabilitation Services, CT Department of Labor, Office of Veterans Workforce Development and Department of Mental Health and Addiction Services, Ability Beyond Disability, Brass City Harvest, New Opportunities, Inc. continue to create linkages between employment, training, and educational services. A resource inventory was created to identify available services and key stakeholders are holding networking meetings to increase collaborative efforts.

Through the ESG Program, the Salvation Army is funded for a Homeless Prevention Program which is designed to assist individuals and families with an Individualized Action Plan, case management support and either short or medium-term rental assistance, including rent arrearages,

utility payments and moving and storage costs in the event a household needs to be relocated. During the CAPER period, a total of 138 persons in 69 households were assisted, which included 78 children.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The FY 2016 Continuum of Care Program funding awards resulted in the renewal of 16 projects totaling \$2,218,680. These renewal projects represent 225 units of housing with support services and a total funding commitment of approximately \$10 million. All permanent supportive housing projects are currently prioritized for individuals and families experiencing chronic homelessness. If no chronically homeless individual or family can be identified, then the units will be prioritized following HUD guidelines (HUD Notice CPD 16-11).

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## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

The PHA's Annual Plan outlines actions to be taken over the upcoming program year with the funds received totaling approximately \$1.1M.

In summary, the capital fund allotment to the Waterbury Housing Authority (WHA) will continue to be used to upgrade individual apartments to comply with Section 504 (handicap accessibility) regulations. To date, 21 units have been brought into full compliance.

Additionally, the Authority this year will utilize HUD Capital Funds to replace windows at its Oak Terrace (54 apartments) and Austin Road (36 apartments) campuses. Capital Funds have also been utilized to replace front and rear doors at the FDR Elderly complex at Kearney Drive and the roof at the Edward D. Bergin Elderly campus.

The WHA added four (4) new units of public housing at 63 Willow Street. The project was the consolidation of 4 individual lots formerly known as 59-65 Willow Street and 322-332 West Grove Street. One (1) four unit modular complex became occupied on July 1 with new residents from the wait list.

The Housing Authority is also partnering with Eversource to upgrade exterior lighting from incandescent to LED lighting at all public housing campuses. This will improve security and decrease energy consumption. Other energy efficiency projects being performed with Eversource will include replacement of faucet aerators, heating circulator motor and interior lighting to LED; as well as replacement of weather stripping to reduce air infiltration and reduce drafts.

The City has not directly allocated any of its Consolidated Plan funding to support improvements on public housing properties. However, many of the public facility improvements and social service programs provided under the auspices of the Consolidated Plan benefit public housing residents along with other community residents.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

To promote self-sufficiency and asset development of assisted households the Waterbury Housing Authority will continue to seek funding for a Resident Initiatives Coordinator to work with clients to ensure that they receive the support services essential to their success. The WHA also administers a Family Self-Sufficiency Program to help families move from publically assisted housing to homeownership. This program is outlined in the Public Housing Authority (PHA) Annual Plan.

### **Actions taken to provide assistance to troubled PHAs**

The Waterbury Housing Authority is recognized by HUD as a standard performer. The agency maintains the goal of becoming a high performer. The latest PHAS (Public Housing Assessment System) score received was 81. The most recent program audit was favorable with no audit findings.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

As stated in the 5 Year Plan, there are no identified public policies that have negative effects on affordable housing and residential investment. As discussed throughout the 5 Year Plan, the lack of affordable housing can be attributed to the following factors:

- High unemployment and lower paying jobs which results in incomes that are not sufficient to afford market-rate housing
- Shortage of publicly assisted housing including Section 8 Vouchers and public housing units
- Market rents are not adequate to support investment in the rehabilitation or new construction of affordable housing

## **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

Waterbury maintains a diverse and growing business environment: manufacturing, healthcare, higher education, financial services, utilities and retail all maintain a significant representation within the City providing employment opportunities. The City continues to advance a comprehensive economic development strategy with both public and private investments that are producing solid returns. This strategy is complemented by a partnership with the State to identify and remediate brownfield sites to repurpose former manufacturing facilities into new manufacturing facilities and mixed-use development projects. This strategy is further complemented by a workforce development collaboration between the City's new technical career high school, Naugatuck Valley Community College, the Northwest Regional Workforce Investment Board and the Manufacturing Alliance of Connecticut. This collaborative effort is geared towards producing employment results through the training and placement of individuals into high tech advanced manufacturing jobs.

New downtown housing and investment by professional companies, securing new manufacturing operations throughout the City and building alliances between all local education institutions, local workforce investments agencies, Waterbury's 2 major hospital institutions and local and State manufacturing alliances are seen as critical steps to the resurgence of the City and new employment opportunities.

The long-term viability and anticipated future investment and growth of these two Waterbury hospitals was solidified during the past year. On October 1, 2016, Waterbury Hospital was acquired by Prospect Holdings, Inc. On August 1, 2016, Saint Mary's Hospital joined Trinity Health New England. The acquisition of Waterbury Hospital by Prospect Holdings, Inc. comes with a capital investment commitment of \$55 million over seven years along with a conversion from a not-for-profit entity to a for-profit taxable entity adding to the City's taxable grand list. Saint Mary's Hospital's joining with Trinity Health New England comes with a capital investment commitment of \$275 million for capital projects and other programmatic investments benefitting the five-hospitals comprising Trinity Health New England. The acquisition, merger and committed capital investments sets the stage for both the long-term viability and expanded regional health care service growth potential of both major institutions benefitting the City, its residents, supporting business and the region.

Securing State and Federal grants along with private investment is an integral component of the City's economic development efforts in order to revitalize its neighborhoods, infrastructure and increase employment opportunities.

In June 2016, Green Hub Developers committed to acquiring the upper two floors of the historic downtown "Brown Building". Over the past year, the 63,000 square foot historic building on East Main Street was renovated, with an approximate \$7 million investment, into 28 suites specifically designed to provide downtown housing to 90 students. Green Hub Developers indicated that they were attracted to the downtown's antique architecture and the easy access to bus, rail and highway transportation. This September 2017, UCONN students are now occupying housing available to them in the historic Brown Building located in the heart of downtown Waterbury.

The City continues to see significant activity in the manufacturing portion of its economic base resulting in the creation of new jobs. Waterbury Construction Career Initiative, a program run by the Northwest Regional Workforce Investment Board (NRWIB), allows residents to attend orientation sessions to learn about construction career opportunities. Many of those residents return and are enrolled in formal job training programs. In the resurgent area of manufacturing, significant employment openings remain due to the skills gap. Through a partnership with Naugatuck Valley Community College and the NRWIB, the City is training and placing its residents into high wage, high tech advanced manufacturing jobs.

The unemployment rates of the City of Waterbury and the Waterbury labor market as a whole continue to trend lower further representing the positive impact of local efforts over the past few years to create new job opportunities complemented by an improved labor market. Waterbury's unemployment rate was 9.0 percent in August 2015 down significantly from 16 percent in February 2010. Over the past two years Waterbury has seen a continued reduction in its unemployment rate, down to 7.7 percent as of July 2017.

Programs expanding after-school academic and manufacturing training for City students were implemented as well. In the summer of 2013, the Mayor requested an expansion of after-school academic and manufacturing training for City students. The offerings focus on manufacturing and S.T.E.M. (science, technology, engineering and math) opportunities. In October 2016, Porter and Chester Institute opened a career training center in Waterbury offering 10 carefully designed career-focused programs

#### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

In August 2015, HUD announced that Waterbury Lead and Healthy Homes (WLHH) would receive more than \$3 million in funds to protect children and families from the dangers of lead-based paints and other residential health and safety hazards.

Waterbury received \$2,906,610 in Lead Hazard Control grant funding and another \$325,000 in Healthy Homes Supplemental funds. The \$2.9 million will be used to address and remediate lead-based paint in a total of 210 eligible low-income units. The \$325,000 will be used to mitigate other home-health hazards, such as mold. The current grant will end on November 1, 2018.

In July 2017, HUD announced that Waterbury Lead and Healthy Homes (WLHH) has been selected as an awardee for the 2017 HUD Lead Hazard Control grant. This 2017 grant will allow WLHH continues to provide funding assistance to eligible property owners in Waterbury to conduct lead abatement and healthy homes remediation to protect children and families from the danger of lead-based paints and other housing related health hazards. The total award is

approximately \$2.9 million, which include \$375,000 that will be utilized for healthy homes remediation. The 2017 grant will start after the 2015 grant ends in 2018.

The Waterbury Health Department runs a Child Lead Poisoning Prevention Program, and has a Lead and Healthy Homes Coalition with local social service agencies. In addition, the City maintains a list of 300 residential units that have already been deemed a “lead safe” by health officials.

As of October 18, 2017, the Waterbury Lead and Healthy Homes Program (WLHH) had completed remediation of 126 Units and had received 128 pre-applications. Of those 128 pre-applications, 100 have been determined to be eligible to proceed to full application

The Waterbury Lead and Healthy Homes Coalition has evolved and transformed into a statewide collaborative partnership, now known as The Connecticut Coalition for Community Revitalization. The Connecticut Coalition for Community Revitalization (CCCR) was founded with a mission to eliminate housing related health hazards and improve housing and quality of life in communities, transforming them block by block through collaborative partnership with key stakeholders in lead hazard control, healthy housing and energy conservation. CCCR resource partners include Energize CT, Department of Housing, Department of Public Health, Capital for Change, Home Energy Solutions, Community Development Block Grants, Waterbury Lead and Healthy Homes, Waterbury Housing Authority, Putting on AIRS, Connecticut Children’s Medical Hospital, and the Department of Energy and Environmental Protection. CCCR is working on a collaborative project with Waterbury Housing Authority in the lower Willow Street/West Grove Street area of Waterbury.

**Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

The actions which the City has taken to strengthen its financial stability improve its position in the regional economy and create income producing employment opportunities are key components to this effort.

In the past year the City funded through CDBG a variety of social service agencies that deliver supportive programs and services to low and moderate income individuals. These services and programs often address the many root causes of poverty: low levels of education, lack of skills/training for today’s jobs, the need for job re-training, substance abuse, unemployment, addiction, etc. The ability to afford housing is a side effect of poverty or low-income. Housing assistance provided by the City through its HOME program and its partnership with housing non-profits are also key components. The City also works closely with and partners with Northwest Regional Workforce Development Board (NRWIB) to address the issue of unemployment and job retraining. They serve as a first source referral agency. The City recognizes the importance of a living wage and enforces its local Good Jobs Ordinance that requires hiring certain percentages of local workers based upon the hours worked and training them on publicly funded projects. The City reviewed and amended its Procurement Ordinance in 2014 and made changes to it regarding Section 3; Good Jobs; Minority set-asides and local preferences. The Section 3 amendments strengthen its policy of providing economic opportunities for low income residents on covered projects. NRWIB is responsible for the administration of both the Good Jobs Ordinance and Section 3 as a result of their extensive experience with unions and jobs programs. NRWIB is the administrative entity that oversees and administers WIA and CT-DOL funded programs in the 41-town Northwest Region Service Delivery Area and was established by the Governor to promote the effective delivery of job training services. The City continues to partner and collaborate with those institutions and agencies that can best address the needs of its most vulnerable residents. The Waterbury

Housing Authority continues to administer the City's supportive housing services.

**Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

During this past year, there was a significant change in the administration and management of the HUD CPD Programs. Effective June 30, 2017, the City of Waterbury decided to remove the Waterbury Development Corporation (WDC) as the administrator of the CDBG, HOME and ESG Programs and place these programs in the Finance Department under the supervision of the Finance Director. The staff at the WDC who had been responsible for the program management of these programs became grant funded City employees effective June 29, 2017 and will continue to administer, manage and monitor these programs on behalf of the City as the Community Development Office.

The WDC will continue to serve as the City's designated economic development agency and work closely with the City's Economic Development Director and the Mayor to manage projects related to the revitalization of brownfields, eliminating urban blight and decay, and managing various construction and capital improvement projects. This team approach has proven beneficial and has been further supported with establishing and maintaining strong relationships and collaborative efforts involving the Connecticut Department of Economic and Community Development (DECD) and Connecticut Department of Transportation (CDOT).

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The Community Development Office will continue to strengthen the housing capacity of the City in terms of delivering housing programs, building the nonprofit housing organization base in Waterbury and coordinating housing efforts so that both public and private dollars can be assembled to plan and implement neighborhood housing improvements.

The Continuum of Care and the Citizens Advisory Committee (CAC) will continue to assist the City to coordinate public and private housing and social service agencies.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

Waterbury's Analysis of Impediments (AI) to Fair Housing Choice was completed in conjunction with the current 5 Year Plan (2013-2018). The goals remain the same. Protect and serve the community, provide decent safe housing for all residents, make Waterbury's neighborhoods 'neighborhoods of choice' and reduce blight and facilitate redevelopment throughout the City. The Fair Housing Officer is constantly performing outreach in the community. Whether it is counseling emergency relocation tenants, attending Housing Court or managing the City's Anti-Blight Team. The FHO also has been continues in assisting The Waterbury Housing Authority purchase properties for mixed use housing in the Willow Plaza neighborhood. He is also working on a plan with Neighborhood Housing Services (NHS) of Waterbury to obtain mutable blighted properties in the Crownbrook neighborhood.

- The Fair Housing Officer (FHO) attended and was an active member of the City of Waterbury Human Rights Commission.
- The FHO continues to manage the City of Waterbury's Emergency Relocation program insuring that all displaced tenants receive safe, decent and sanitary housing.
- The FHO monitored the Republican American Newspaper Classified section for

apartment rentals and home sales

- The FHO continues to participate in statewide meetings of the Connecticut Health Improvement Plan which call for statewide enhanced property maintenance code enforcement.
- A Fair Housing posting is maintained on the City's website
- 11/16 The FHO received a complaint about damage to her unit and that her landlord made discriminatory remarks to her children ages 21, 16 and 11. The FHO offered to send the Health Department to inspect the unit and send an order to the owner to make necessary repairs. The tenant refused and stated she wanted to make a written complaint. The FHO referred the tenant to Robert Zamlowski of the Housing Discrimination Unit at CHRO.
- 11/16 The FHO received a complaint from a tenant stating she believed she was being passed over on an apartment waiting list. The FHO referred the tenant to Robert Zamlowski of the Housing Discrimination Unit at CHRO.
- 12/16 The FHO received a call from a tenant stating that her house she lives in was being foreclosed on. The FHO checked the status for the property and it was not being foreclosed on. The tenant also stated that she was not paying rent because her stove was broken. I informed the tenant that her house was not in foreclosure, to call the Health Dept. and report the broken stove and to ask Housing Court if she could pay her rent into court until repairs are made.
- 4/17 The FHO attended ICC Inspector Skills Training. Sponsored by The Connecticut Association Of Housing Code Officials
- 4/17 The FHO hung and distributed Fair Housing posters supplied by The National Fair Housing Alliance.
- 3/17 The FHO ordered 6 Fair Housing Billboards - 3 in English and 3 in Spanish. They were displayed in various locations throughout the City.
- 4/17 FHO had the City of Waterbury's Board of Alderman pass a resolution proclaiming April as Fair Housing Month.
- 4/17 The FHO participated in The City of Waterbury's Family and Housing Expo.
- 4/17 The FHO had another WDC employee (Cross training) attend the 38th Annual Fair Housing Conference "Shining A Light On Fair Housing Rights in 2017"
- 5/17 The Republican American newspaper published a half page ad "A Zip Code Should Not Determine A Child's Future". The Ad ran several more times during the year.
- 7/19 The FHO received a call from a tenant stating she had been on a waiting list for a new apartment and wanted to know her status, The FHO referred her to the Harford HUD office.
- 7/17 The FHO received a complaint from Ct Legal Services. The stated that they had a client that was told by The Waterbury Fire Dept that she had to vacate her unit and that The City should offer relocation Assistance. I confirmed that there was no active order with The Waterbury Fire Dept therefore relocation was not needed.
- 8/28 The FHO received a call from a tenant stating she had several Health Code issues in her unit. I referred her to the Health Dept and called the building's owner. The tenant also informed the FHO she only planned on paying apportion of her rent until the repairs

were made. I advised her to go to Waterbury Housing Court and ask if she could pay her rent into the court until the repairs are made.

- 9/17 The FHO had Four meetings with representatives from The City and The Center for Community Progress. They were hired to evaluate our Anti-Blight Program and strategies and make recommendations on how the City of Waterbury handles Vacant, Blighted and Abandoned buildings
- 9/28 The FHO received a call from a tenant stating she was being discriminated against. She stated that her landlord told her that if he found one more bedbug in her unit he would evict her I referred her to Shaun Jones of the Housing Discrimination Unit at CHRO. An inspector from The Waterbury Health Dept was also assigned to inspect the unit.

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## **CR-40 - Monitoring 91.220 and 91.230**

### **Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

CDBG/ESG funded projects were monitored by the staff and the City Departments that were responsible for each specific project. At the beginning of CD YR 42, WDC entered into a Sub-Recipient Agreement with each social service agency. Each agreement contained a scope of services and a budget, which were reviewed for regulatory compliance. Effective June 29, 2017, the City took back the administration of the HUD Programs and the 3 staff members became City grant-funded employees.

Two mandatory, Technical Assistance Meetings were held on September 14 & 15, 2016 with at least one representative from each agency attending. These sessions are intended to guide sub-recipients through the program, reporting requirements and the monitoring process, as well as to familiarize them with the process. Each agency was provided with a CD YR 42 packet that outlines the required reporting compliance standards and also contains copies of all necessary forms and reports, also included was a copy of the Monitoring Plan and HUD's Performance Outcome Measurements. Reporting requirements, such as: the timely completion of Daily Benefit Activity Reports, Monthly Reports, Quarterly Reports and the Year End Close-Out Reports were explained by the Monitoring Specialist. The Desk Monitoring, done as part of the on-site monitoring, consists of an in-house Desk Review, which is a review of the application, contract, the timeliness and quality of the required reporting, as well as review of the vouchers/bills that have been submitted for reimbursement. The Desk Reviews were performed from March – April 2017; a copy of which is sent to the sub-recipients, along with the Monitoring Notification Letter confirming the mutually agreed upon, date and time of the Annual On-Site Monitoring Visit. Communication remains ongoing throughout the program year.

On-site visits were scheduled from April - August 2017 preferably while the funded programs are taking place. At the on-site visit, an entrance conference is held, which includes a review of all required documents, followed by a tour of agency and/ or program, with the opportunity to meet all key staff members. An exit interview, takes place at the completion of each visit, discussing any issues or concerns that may have been addressed. The visit is structured to provide positive feedback to the sub-recipient about what they have done well and to discuss any areas that may require improvement. A formal Post Monitoring Letter is sent within 30 days of this visit; detailing any findings that may have been addressed.

Agencies that may be considered "High Risk" are sometimes visited more than once and provided support with any concerns or problems, throughout the process. Factors of "High Risk" might include; new sub-recipients, high turnover in key staff or previous compliance and/or timeliness issues.

Throughout the program year, sub-recipients request expense reimbursements for funded activities/projects. The City monitors the account balance and reimburses sub-recipients accordingly; pending compliance of all financial commitments. Staff maintains regular contact with each agency to ensure the accuracy of their payment requests and to confirm that the programs are on target with all required documentation.

HOME projects were also monitored closely by Community Development staff, the Construction Specialist and project architects, starting at application through completion. The Construction Specialist monitored the rehabilitation of smaller projects (1-6 units) by conducting initial inspections of the properties and inspections during the construction phase. During

construction of the large projects, the HOME Manager attended on-site project meetings with the general contractor, owner, architect and sometimes other funders to monitor the progress and to discuss any issues that arose. Payments were not processed until the Construction Specialist or Architect performed an inspection with the property owner and contractor. Permits, Certificates of Approval, Certificates of Occupancy are obtained when required. The property owner, contractor, Construction Specialist or Architect, and owner are required to sign off on each payment request before being processed. For smaller projects the Construction Specialist and HOME Manager signs off on the payment request. After projects are completed they receive both on-site and rent and income monitoring to assure long-term compliance.

General contractors continued their marketing efforts to minority and women owned businesses by encouraging them to respond to their ads in local newspapers, minority publications, the State's Department of Administrative Services lists, local contracting groups and firms they have contracted with in the past. In addition to Section 3, the City's Good Jobs Ordinance applied to all of the large projects funded this year. The Good Jobs Ordinance requires 30% of the total worker hours to be performed by City residents, 10% of total work hours to minorities and with a goal of 5% minority and 5% of women workers.

Onsite monitoring was conducted to ensure owners were maintaining their properties to HOME's standards and rent and income monitoring to verify the units are being rented to individuals and families that income qualify and the rents are within the HOME Program limits.

#### **Citizen Participation Plan 91.105(d); 91.115(d)**

##### **Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Citizens are advised of the availability of the CAPER through the publication of a public notice on November 14, 2017 in the Republican American, the daily local newspaper and postings on the City of Waterbury's website. The Citizens Advisory Committee (CAC) also received an email notifying them of the CAPER. The fifteen (15) day comment period commenced on November 15, 2017 and ended at 5pm on November 30, 2017. No comments were received.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

This CAPER covers the fourth year of the 5 Year Consolidated Plan (2013-2018). The objectives and anticipated outcomes outlined in the Plan and carried forward in the Annual Action Plan for PY 42 remain relevant and as a result of its experiences the City does not anticipate changing them.

<b>Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?</b>	No
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**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

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## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Properties were monitored per 92.504(d). Housing Quality Standards (HQS), inspections were scheduled and performed on properties that were due for an inspection. Housing Quality Standards (HQS) inspections are completed by WDC's, Neighborhood Housing/Neighborhood Re-Investment Manager, Michael Gilmore, who is a certified HQS Inspector. Mr. Gilmore stays up to date of any regulatory changes to the HQS Standards. As part of his role as HQS Inspector, Mr. Gilmore has become very familiar with many of the property owners as well as the various departments within Waterbury. An HQS inspection checklist is utilized and a "Failed Inspection Letter" is sent when violations are found. Violations that are deemed a safety issue require immediate attention. Property owners are given 24 hours to address any safety issues, other violations are given a 30-day period in which to address the violations; occasionally there is an extension period offered when the violations are outdoors and the weather is not permitting.

Per the revised HOME regulations, HUD is now requiring ALL of the units in the 1-4 unit properties to be inspected; and that rental housing is inspected 12 months after project completion, and every 3 years, thereafter. These new regulations make the scheduling of inspections a bit more complex, as the inspector attempts to do all of the multi-family (1-4) units at the same time. The tenant and the property owner both need to be present at the time of the inspection, allowing both to be notified immediately of any violations. Properties that were inspected have all passed, some properties required minor repairs which were completed at that time of visit, some units required more extensive repairs and a second inspection was performed after the violations have been remedied. Most of the violations were minor, such as batteries in smoke alarms and were replaced at the time of inspection; there was one electrical violation that was taken care of within 24 hours and passed a re-inspection immediately thereafter. The following is a list of properties monitored during this program year.

- 770 East Main Street, 3 units passed repaired
- 605 Baldwin Street (Francis Xavier Plaza), 3 units passed
- 37 Enoch Street (Frost Homestead), 3 units passed
- 46 Inman Avenue, 3 units passed
- 24 Lampson Street, 3 units passed
- 1569 Thomaston Avenue, 3 units passed
- 102 Fairview Avenue, 3 units passed
- 471 West Main Street, 3 units passed

Three properties are currently due for inspection and in the process of being scheduled with the

property owner and each tenant.

Rental and income monitoring of each property is performed on an annual basis, homeowners are provided with a summary of income and rent limits, utility allowances charts and lease requirements. Rental property owners are required to fill out and submit the HOME Rental Project Compliance Report (Form 6-D) yearly.

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

This year two affordable housing projects were completed using HOME funds. The first is Frost Homestead completed by Caleb Waterbury Limited Partnership. Frost Homestead has 60 units for individuals/families at or below 60% of MFI and three units at or below 50% of MFI. HOME funds were used to rehabilitate 11 of the 63 units. Some of the units were already occupied while the project was being rehabilitated. Caleb's affirmative marketing efforts included outreach to several groups including but not limited to non-profits, community organizations, neighborhood associations, churches, mosques, synagogues, social clubs, recreation centers, senior center, newspapers, radio, television and cultural clubs. In total Caleb reached out to twenty-two organizations to market the vacant units. Their efforts are evidenced by the tenant makeup of the 11 HOME funded units which included the following households: 4 black, 2 white, 5 undetermined households. Of these households 6 were Hispanic and 5 were non-Hispanic.

Information on the second project completed this year was actually included in last year's CAPER. Brookside Co-operatives was the rehabilitation of 102 limited equity co-operatives that were occupied at the time of rehabilitation. Of the 27 HOME funded units 7 were owned by black/African American head of households (HOH), 19 white HOHs and 1 American Indian HOHs. Of the 27 units 9 were Hispanic.

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Due to the change from FIFO to grant based accounting no program income was expended. We expect to expend some the program income in CD Year 43.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

The City continues to work with developers interested in increasing or rehabilitating affordable housing. These projects being developed are in the early stages of planning and we expect two of them to apply during CD Year 43.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps*  
For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

<b>Recipient Name</b>	WATERBURY
<b>Organizational DUNS Number</b>	877993022
<b>EIN/TIN Number</b>	066001900
<b>Identify the Field Office</b>	HARTFORD
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	Balance of State COC

**ESG Contact Name**

<b>First Name</b>	DIANE
<b>Middle Name</b>	C
<b>Last Name</b>	TOOLAN
<b>Title</b>	Housing & Community Planning Program Director

**ESG Contact Address**

<b>Street Address 1</b>	235 Grand Street Room C29
<b>City</b>	WATERBURY
<b>State</b>	CT
<b>ZIP Code</b>	06702
<b>Phone Number</b>	2033463810
<b>Extension</b>	7288
<b>Fax Number</b>	2035742006
<b>Email Address</b>	dtoolan@waterburyct.org

**ESG Secondary Contact**

**First Name**

**Last Name**

**Title**

**Phone Number**

**Extension**

**Email Address**

**2. Reporting Period—All Recipients Complete**

**Program Year Start Date** 10/01/2016

**Program Year End Date** 09/30/2017

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** WATERBURY

**City:** Waterbury

**State:** CT

**Zip Code:** 06702

**DUNS Number:** 877993022

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Unit of Government

**ESG Subgrant or Contract Award Amount:** 12751

**Subrecipient or Contractor Name:** The Salvation Army

**City:** Waterbury

**State:** CT

**Zip Code:** 06702, 1207

**DUNS Number:** 062517941

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 47129

**Subrecipient or Contractor Name:** St. Vincent DePaul Mission

**City:** Waterbury

**State:** CT

**Zip Code:** 06710, 2003

**DUNS Number:** 039624804

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:**91798

**Subrecipient or Contractor Name:** Safe Haven of Greater Waterbury, Inc.  
**City:** Waterbury  
**State:** CT  
**Zip Code:** 06721, 1503  
**DUNS Number:** 029978769  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:**18337

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**CR-65 - Persons Assisted**

See the data uploaded in the SAG HMIS Repository

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## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	62,415
Total Number of bed-nights provided	46,884
Capacity Utilization	75%

Table 14 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Each subrecipient of ESG funds has adopted the CoC's Housing First Policy in order to get people out of the shelters and into housing as quickly as possible. While this has worked with those families and individuals who have not had long periods of homelessness, it has not worked as well with the chronically homeless and those who suffer from mental health and/or substance abuse issues. Each Executive Director of the ESG subrecipients have cited increased number of clients presenting with serious mental health issues and, without assistance (intensive case management) they often fall back into the shelters. They quickly burn their bridges with family and landlords and simply cannot make it on their own without long-term assistance. Each shelter reported clients staying in the shelters longer than previous average stays and while, some of this can be attributed to the continued lack of jobs and affordable housing; it can also be attributed to the dearth of treatment options available for those with mental health and/or substance abuse issues. The Center for Human Development's Hospitality Center, which receives CDBG program funding for a staff position, has proved an invaluable resource in helping the chronically homeless connect with needed services and benefits and re-establish relationships. The Center expanded their physical space this year as well as their hours of operation in order to meet the growing need.

The prevention and rapid rehousing programs have successfully helped 230 persons during the past program year.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	27,805	19,966	15,927
<b>Subtotal Homelessness Prevention</b>	<b>27,805</b>	<b>19,966</b>	<b>15,927</b>

Table 15 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	24,909	26,389	21,435
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>24,909</b>	<b>26,389</b>	<b>21,435</b>

Table 16 – ESG Expenditures for Rapid Re-Housing

**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	0
Operations	97,419	102,335	100,645
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>97,419</b>	<b>102,335</b>	<b>100,645</b>

Table 17 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	0	0	0
Administration	10,341	12,170	12,751

Table 18 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2014	2015	2016
	160,474	160,860	150,758

Table 19 - Total ESG Funds Expended

**11f. Match Source**

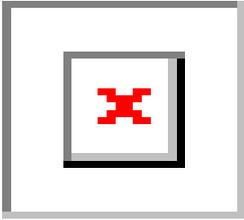
	<b>2014</b>	<b>2015</b>	<b>2016</b>
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	58,201	36,795	57,447
State Government	470,635	221,766	225,000
Local Government	1,407,423	1,337,578	1,588,477
Private Funds	430,916	188,702	399,220
Other	601,542	977,595	328,562
Fees	18,050	13,049	2,475
Program Income	0	0	0
<b>Total Match Amount</b>	<b>2,986,767</b>	<b>2,775,485</b>	<b>2,601,181</b>

**Table 20 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
	3,147,241	2,936,344	2,751,937

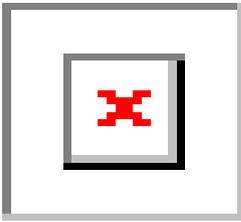
**Table 21 - Total Amount of Funds Expended on ESG Activities**



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PART I: SUMMARY OF CDBG RESOURCES	
01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	1,917,162.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	99,002.18
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	2,016,164.18
PART II: SUMMARY OF CDBG EXPENDITURES	
09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,663,824.35
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,663,824.35
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	299,398.60
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	1,963,222.95
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	52,941.23
PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD	
17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,663,824.35
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,663,824.35
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%
LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS	
23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%
PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS	
27 DISBURSED IN IDIS FOR PUBLIC SERVICES	232,535.36
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	232,535.36
32 ENTITLEMENT GRANT	1,917,162.00
33 PRIOR YEAR PROGRAM INCOME	77,320.39
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	1,994,482.39
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	11.66%
PART V: PLANNING AND ADMINISTRATION (PA) CAP	
37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	299,398.60
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	299,398.60
42 ENTITLEMENT GRANT	1,917,162.00
43 CURRENT YEAR PROGRAM INCOME	99,002.18
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,016,164.18
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	14.85%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

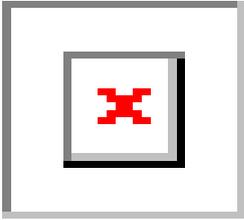
Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	16	2401	6029972	Boys and Girls Club of Greater Waterbury	03D	LMC	\$25,104.00
					03D	Matrix Code	\$25,104.00
2014	17	2338	6044760	Brass City Harvest Greenhouse	03E	LMA	\$3,552.29
2014	17	2338	6055547	Brass City Harvest Greenhouse	03E	LMA	\$4,570.00
2014	17	2338	6060483	Brass City Harvest Greenhouse	03E	LMA	\$2,000.00
2014	17	2338	6063009	Brass City Harvest Greenhouse	03E	LMA	\$4,575.00
2014	17	2338	6070614	Brass City Harvest Greenhouse	03E	LMA	\$3,085.89
2014	27	2348	6002693	Waterbury Opportunities Industrialization Center - Joseph Jaynes Center Improvements	03E	LMA	\$9,300.00
2015	27	2374	6044760	Park Improvements - Washington Park	03E	LMA	\$66,414.16
					03E	Matrix Code	\$93,497.34
2013	26	2306	6055547	Library Plaza Entry Phase 1	03F	LMA	\$31,268.30
2013	26	2306	6061761	Library Plaza Entry Phase 1	03F	LMA	\$95,770.45
2013	26	2306	6063009	Library Plaza Entry Phase 1	03F	LMA	\$104,500.00
2013	29	2309	6061761	Park Improvements - Rivera Hughes Park	03F	LMA	\$13,127.35
2013	37	2315	5988004	Neighborhood Park Improvements - General	03F	LMA	\$2,716.08
2014	20	2341	5988004	Park Improvements - Lakewood Park	03F	LMA	\$37,929.62
2014	20	2341	6060483	Park Improvements - Lakewood Park	03F	LMA	\$40,215.45
2014	22	2343	6081973	Police Activity League of Waterbury - Boiler Replacement	03F	LMA	\$51,340.00
2014	22	2343	6082748	Police Activity League of Waterbury - Boiler Replacement	03F	LMA	\$5,563.56
2015	18	2372	5988004	Park Improvements - Hopeville Park	03F	LMA	\$18,706.60
2015	19	2373	5988004	Park Improvements - Lakewood Park	03F	LMA	\$11,104.97
2015	19	2373	6029972	Park Improvements - Lakewood Park	03F	LMA	\$154,209.74
2015	19	2373	6055547	Park Improvements - Lakewood Park	03F	LMA	\$11,912.77
2015	19	2373	6081965	Park Improvements - Lakewood Park	03F	LMA	\$33,964.59
2015	21	2403	6082754	Police Activity League of Waterbury - Rooftop Garden	03F	LMA	\$20,000.00
2016	18	2393	6061811	Park Improvements - City Mills Park	03F	LMA	\$107,991.25
2016	19	2394	6082762	Park Improvements - Fulton Park	03F	LMA	\$8,073.20
2016	20	2395	6035157	Park Improvements - Hamilton Park	03F	LMA	\$33,350.00
2016	21	2396	6035140	Park Improvements - Waterville Park	03F	LMA	\$4,500.00
2016	21	2396	6061811	Park Improvements - Waterville Park	03F	LMA	\$127,913.13
					03F	Matrix Code	\$914,157.06
2010	12	2145	5999237	Fire Station Improvements	03O	LMA	\$566.50
2011	37	2227	5982481	Waterbury Fire Department	03O	LMA	\$22,468.30
					03O	Matrix Code	\$23,034.80
2016	4	2378	6035157	Center for Human Development	05	LMC	\$7,000.00
2016	4	2378	6044760	Center for Human Development	05	LMC	\$7,000.00
					05	Matrix Code	\$14,000.00
2016	8	2383	6042500	Hispanic Coalition	05A	LMC	\$11,000.00
2016	13	2388	5982481	Waterbury Senior Shuttle	05A	LMC	\$6,491.65
2016	13	2388	5991868	Waterbury Senior Shuttle	05A	LMC	\$10,555.92
2016	13	2388	6002693	Waterbury Senior Shuttle	05A	LMC	\$7,747.16
2016	13	2388	6029972	Waterbury Senior Shuttle	05A	LMC	\$13,982.75
2016	13	2388	6035157	Waterbury Senior Shuttle	05A	LMC	\$8,985.83
2016	13	2388	6044760	Waterbury Senior Shuttle	05A	LMC	\$10,180.65
2016	13	2388	6055547	Waterbury Senior Shuttle	05A	LMC	\$8,762.51
2016	13	2388	6061761	Waterbury Senior Shuttle	05A	LMC	\$10,314.42







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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	<u>Drawn Amount</u>
					05W	Matrix Code	\$70,883.62
Total							\$232,535.36

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	<u>Drawn Amount</u>
2015	1	2350	5982481	CDBG - Administration	21A		\$16,779.18
2015	1	2350	5991868	CDBG - Administration	21A		\$35,189.05
2015	1	2350	6002693	CDBG - Administration	21A		\$20,761.10
2016	1	2375	6035140	CDBG - Administration	21A		\$49,494.91
2016	1	2375	6035157	CDBG - Administration	21A		\$25,254.68
2016	1	2375	6042500	CDBG - Administration	21A		\$5.73
2016	1	2375	6044760	CDBG - Administration	21A		\$4,014.44
2016	1	2375	6048293	CDBG - Administration	21A		\$22,829.40
2016	1	2375	6055547	CDBG - Administration	21A		\$35,371.79
2016	1	2375	6060483	CDBG - Administration	21A		\$1,545.00
2016	1	2375	6061761	CDBG - Administration	21A		\$36,990.45
2016	1	2375	6063009	CDBG - Administration	21A		\$4,208.03
2016	1	2375	6070614	CDBG - Administration	21A		\$4,465.19
2016	1	2375	6077155	CDBG - Administration	21A		\$17,547.19
2016	1	2375	6081965	CDBG - Administration	21A		\$11,191.93
2016	1	2375	6082748	CDBG - Administration	21A		\$6,639.19
2016	1	2375	6093876	CDBG - Administration	21A		\$7,111.34
					21A	Matrix Code	\$299,398.60
Total							\$299,398.60

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